



## PART B - FEE(S) TRANSMITTAL

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22120 7590 09/18/2006

ZAGORIN O'BRIEN GRAHAM LLP  
7600B NORTH CAPITAL OF TEXAS HIGHWAY  
SUITE 350 00000135 10729831  
AUSTIN, TX 78731

01 FC:1501 1400.00 OP  
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03 FC:8001 15.00 OP

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885 on the date indicated below.

Andrew C. Graham (Depositor's name)  
(Signature)  
5-7-07 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/729,831 12/05/2003 En-Hsing Chen 023-0028 8528

TITLE OF INVENTION: NAND MEMORY ARRAY INCORPORATING CAPACITANCE BOOSTING OF CHANNEL REGIONS IN UNSELECTED MEMORY CELLS AND METHOD FOR OPERATION OF SAME

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	12/18/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
PHAM, LY D	2827	365-185020

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Zagorin O'Brien Graham LLP

2. \_\_\_\_\_

3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

SanDisk 3D LLC

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Milpitas, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 5

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.  
☒ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-0631 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature \_\_\_\_\_

Date 5-7-07

Typed or printed name Andrew C. Graham

Registration No. 36,531

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**ZAGORIN O'BRIEN GRAHAM LLP***Intellectual Property Attorneys*7600B N. CAPITAL OF TEXAS HWY, SUITE 350  
AUSTIN, TEXAS 78731***FACSIMILE TRANSMITTAL TO USPTO***

<b>To:</b> Mail Stop ISSUE FEE USPTO	<b>Fax No.:</b> (571) 273-2885
<b>Date:</b> May 7, 2007	<b>Pages:</b> 3 (including this sheet)
<b>From:</b> Andrew C. Graham	<b>Sender's Phone No.:</b> (512) 338-6300 <b>Sender's Fax No.:</b> (512) 338-6301

***REGARDING:***

<b>Title:</b> NAND MEMORY ARRAY INCORPORATING CAPACITANCE BOOSTING OF CHANNEL REGIONS IN UNSELECTED MEMORY CELLS AND METHOD FOR OPERATION OF SAME	
<b>Application No.:</b> 10/729,831	<b>Filed:</b> December 5, 2003
<b>Examiner:</b> Ly D. Pham	<b>Group Art Unit:</b> 2827
<b>Atty. Docket No.:</b> 023-0028	<b>Confirmation No.:</b> 8528

**ATTACHED HERETO:**

- (1) PTOL-85 Issue Fee Transmittal (1 page); and
- (2) PTO-2038 credit card payment form (1 page).

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that, on the date shown below, this  
correspondence is being facsimile transmitted to the  
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Andrew C. Graham

5-7-07

Date

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